

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Alejandro WIECHERS ET AL.

Confirmation No.:

Application No.: Unassigned

Examiner:

Filing Date: 08/07/2003

Group Art Unit:

Title: MANAGING WORKFLOW IN A COMMERICAL PRINTING ENVIRONMENT WITH  
PRE-SUBMITTAL HIGH PERFORMANCE AUTOMATED REMOTE PROOFING

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e)(1) or (2), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

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Date of Deposit

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By \_\_\_\_\_

Typed Name:

Respectfully submitted,

Alejandro WIECHERS ET AL.

By  \_\_\_\_\_

William T. Ellis

Attorney/Agent for Applicant(s)  
Reg. No. 26,874

Date: 08/07/2003

Since the U.S. Patent and Trademark Office has waived the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003, copies of cited U.S. patents and patent application publications are not being submitted herewith. Copies of foreign patent documents and non-patent literature are being submitted in accordance with 37 CFR 1.98(a)(2).

| Form PTO-1449<br>(MODIFIED)   |     | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE               |            | ATTY. DOCKET NO.<br>200207420-1                |       | SERIAL NO.<br>Unassigned     |                                  |
|---|-----|--|------------|--|-------|------------------------------|----------------------------------|
| <b>INFORMATION DISCLOSURE CITATION</b><br><br><i>(Use several sheets if necessary)</i>  |     |  |            | APPLICANT<br>Alejandro WIECHERS, Rodolfo JODRA |       |                              |                                  |
|   |     |  |            | FILING DATE<br>08/07/2003                      |       | GROUP ART UNIT<br>Unassigned |                                  |
| <b>U.S. PATENT DOCUMENTS</b>  |     |  |            |  |       |                              |                                  |
| EXAMINER<br>INITIAL   | REF | DOCUMENT<br>NUMBER   | DATE       | NAME   | CLASS | SUB-<br>CLASS                | FILING DATE<br>IF<br>APPROPRIATE |
|   | A1  | 5,963,641  | 10/05/1999 | CRANDELL et al.                                | 380   | 2                            |                                  |
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|   | A3  | 6,247,011  | 06/12/2001 | JECHA et al.                                   | 707   | 9                            |                                  |
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|   | A7  | 2002/0101608   | 08/01/2002 | WHITMARSH                                      | 358   | 1.15                         |                                  |
|   | A8  | 6,429,947  | 08/06/2002 | LAVERTY et al.                                 | 358   | 1.15                         |                                  |
|   | A9  | 6,441,920  | 08/27/2002 | SMITH  | 358   | 1.2                          |                                  |
|   | A10 | 6,462,756  | 10/08/2002 | HANSEN et al.                                  | 345   | 764                          |                                  |
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|   | A12 | 6,509,974  | 01/21/2003 | HANSEN   | 358   | 1.12                         |                                  |
| <b>FOREIGN PATENT DOCUMENTS</b>   |     |  |            |  |       |                              |                                  |
|   | REF | DOCUMENT<br>NUMBER   | DATE       | COUNTRY  | CLASS | SUB-<br>CLASS                | TRANSLATION<br>YES NO            |
|   | A13 | 02165319   | 06/26/1990 | JAPAN  |       |                              |                                  |
|   | A14 | 07064744   | 03/10/1995 | JAPAN  |       |                              |                                  |
|   | A15 | 8065490  | 03/08/1996 | JAPAN  |       |                              |                                  |
|   | A16 | 2000071576   | 03/07/2000 | JAPAN  |       |                              |                                  |
|   | A17 | 1197838  | 04/17/2002 | EUROPE   |       |                              |                                  |
| <b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>  |     |  |            |  |       |                              |                                  |
|   | A18 | Article – LEADTOOLS – “Leading Technology in Imaging Developer Toolkits” |            |  |       |                              |                                  |
|   | A19 | Article – EXTENSIS – “Preflight Pro – Features”                          |            |  |       |                              |                                  |
|   | A20 | Article - EXTENSIS – “PrintReady – Features”                             |            |  |       |                              |                                  |
|   | A21 | Article – EXTENSIS – “Collect Pro – What’s New”                          |            |  |       |                              |                                  |
| EXAMINER  |     |  |            | DATE CONSIDERED                                |       |                              |                                  |
| * EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant. |     |  |            |  |       |                              |                                  |